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Dated: November 4, 2003

Signature: Paul S.

Paul B. Stephens

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Docket No.: 30203/37263
(PATENT)

NOV 07 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Flick et al.

Application No.: 09/905,736

Group Art Unit: 2873

Filed: July 13, 2001

Examiner: M. Abutatyeh

For: Optical Switch with Moveable Holographic Optical Element

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the International Preliminary Examination Report for the counterpart PCT application. A copy of the document is enclosed.

This statement and PTO-1449 form are submitted before the mailing of a first Office Action after RCE in the above-identified patent application. Accordingly, it is submitted that no fee is due in this matter under 37 C.F.R. §1.97(c). The Commissioner is hereby authorized to charge any fees which may be required to Deposit Account No. 13-2855. A duplicate of this paper is enclosed.

Dated: November 4, 2003

Respectfully submitted,

By Paul S.

Paul B. Stephens

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Form PTO-1449 (Modified)

U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket No.

30203/37263

Serial No.

09/905,736

Applicant

Frick et al.

Filing Date

07/13/01

Group

2873

**INFORMATION DISCLOSURE
STATEMENT****U.S. PATENT DOCUMENTS**

Initials	Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS

*Examiner Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

C9	
	International Preliminary Examination Report from PCT/US02/13343

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.